

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
2066.0010009APPLICATION NO.  
10/677,480FIRST NAMED INVENTOR  
Mark G. GILBRETHFILING DATE  
October 3, 2003ART UNIT  
2838

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
R	AA1	2,864,244	12/1958	Prachar			
R	AB1	3,124,924	3/1964	Smith			
R	AC1	3,250,973	5/1966	Dawson			
R	AD1	3,569,809	3/1971	Comer			
R	AE1	3,591,844	7/1971	Schonebeck			
R	AF1	3,703,076	11/1972	Hagemeister			
R	AG1	3,724,214	4/1973	Bryant			
R	AH1	3,764,815	10/1973	Habock <i>et al.</i>			
R	AI1	3,809,914	5/1974	Kilgore <i>et al.</i>			
R	AJ1	3,829,758	8/1974	Studtmann			
R	AK1	3,937,974	2/1976	Lafuze			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
R	AL1	0 472 294	2/1992	EP			Yes No
R	AM1	0 679 800	11/1995	EP			Yes No
R	AN1	0 837 231	4/1998	EP			Yes No
R	AO1	0 901 218	3/1999	EP			Yes No
R	AP1	WO 94/27359	11/1994	WO			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

R	AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
R	AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
R	AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

EXAMINER

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
R	AA2	3,991,357	11/1976	Kaminski			
A	AB2	4,005,581	2/1977	Aanstad			
A	AC2	4,015,187	3/1977	Sasaki <i>et al.</i>			
R	AD2	4,039,914	8/1977	Steigerwald <i>et al.</i>			
R	AE2	4,093,869	6/1978	Hoffmann <i>et al.</i>			
R	AF2	4,119,861	10/1978	Gocho			
R	AG2	4,258,424	3/1981	Giras <i>et al.</i>			
R	AH2	4,265,099	5/1981	Johnson <i>et al.</i>			
R	AI2	4,292,534	9/1981	Diegel <i>et al.</i>			
R	AJ2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
R	AK2	4,401,938	8/1983	Cronin			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
R	AL2	WO 98/25014	6/1998	WO			Yes No
R	AM2	WO 99/32762	7/1999	WO			Yes No
R	AN2	WO 99/52193	10/1999	WO			Yes No
R	AO2	WO 00/28191	5/2000	WO			Yes No
R	AP2	6-108879	04/1994	Japan			Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	2	
	AT	2	

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	FIRST NAMED INVENTOR Mark G. GILBRETH	
	FILING DATE October 3, 2003	ART UNIT 2838

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>R</i>	AA3	4,442,385	4/1984	Van Sickle	<i>T</i>	<i>T</i>	<i>T</i>
<i>R</i>	AB3	4,471,229	9/1984	Plohn <i>et al.</i>			
<i>R</i>	AC3	4,481,459	11/1984	Mehl <i>et al.</i>			
<i>R</i>	AD3	4,498,551	2/1985	Arbisi			
<i>R</i>	AE3	4,551,980	11/1985	Bronicki			
<i>R</i>	AF3	4,560,364	12/1985	Cohen			
<i>R</i>	AG3	4,565,957	1/1986	Gary <i>et al.</i>			
<i>R</i>	AH3	4,684,814	8/1987	Radomski			
<i>R</i>	AI3	4,709,318	11/1987	Gephart <i>et al.</i>			
<i>R</i>	AJ3	4,719,550	1/1988	Powell <i>et al.</i>			
<i>R</i>	AK3	4,730,397	3/1988	Weiford <i>et al.</i>			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	3	
	AS	3	
	AT	3	

EXAMINER <i>Reg. [Signature]</i>	DATE CONSIDERED <i>1/12/06</i>
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	AA4	4,754,607	7/1988	Mackay			
<i>g</i>	AB4	4,757,686	7/1988	Kawamura <i>et al.</i>			
<i>n</i>	AC4	4,772,802	9/1988	Glennon <i>et al.</i>			
<i>n</i>	AD4	4,786,852	11/1988	Cook			
<i>n</i>	AE4	4,802,882	2/1989	Heidrich			
<i>g</i>	AF4	4,830,412	5/1989	Raad <i>et al.</i>			
<i>n</i>	AG4	4,833,887	5/1989	Kawamura <i>et al.</i>			
<i>n</i>	AH4	4,841,216	6/1989	Okada <i>et al.</i>			
<i>n</i>	AI4	4,862,009	8/1989	King			
<i>g</i>	AJ4	4,883,973	11/1989	Lakey <i>et al.</i>			
<i>g</i>	AK4	4,908,565	3/1990	Cook <i>et al.</i>			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>4</u>	
	AS	<u>4</u>	
	AT	<u>4</u>	

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## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

2066.0010009

APPLICATION NO.

10/577,480

FIRST NAMED INVENTOR

Mark G. GILBRETH

FILING DATE

October 3, 2003

ART UNIT

2838

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>[initials]</i>	AA5	4,939,441	7/1990	Dhyanchand	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AB5	4,955,199	9/1990	Kawamura	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AC5	4,967,096	10/1990	Diemer <i>et al.</i>	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AD5	4,968,926	11/1990	Dhyanchand	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AE5	4,982,569	1/1991	Bronicki	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AF5	5,013,929	5/1991	Dhyanchand	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AG5	5,015,941	5/1991	Dhyanchand	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AH5	5,029,062	7/1991	Capel	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AI5	5,038,566	8/1991	Hara	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AJ5	5,055,764	10/1991	Rozman <i>et al.</i>	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>
<i>[initials]</i>	AK5	5,057,763	10/1991	Torii <i>et al.</i>	<i>[initials]</i>	<i>[initials]</i>	<i>[initials]</i>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>5</u>	
	AS	<u>5</u>	
	AT	<u>5</u>	

EXAMINER

*[Signature]*

DATE CONSIDERED

11/21/06

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA6	5,068,590	11/1991	Glennon <i>et al.</i>			
	AB6	5,088,286	2/1992	Muraji			
	AC6	5,097,195	3/1992	Raad <i>et al.</i>			
	AD6	5,115,183	5/1992	Kyoukane <i>et al.</i>			
	AE6	5,158,504	10/1992	Stocco			
	AF6	5,191,520	3/1993	Eckersley			
	AG6	5,214,371	5/1993	Naidu			
	AH6	5,237,260	8/1993	Takakado <i>et al.</i>			
	AI6	5,250,890	10/1993	Tanamachi <i>et al.</i>			
	AJ6	5,252,860	10/1993	McCarty <i>et al.</i>			
	AK6	5,260,637	11/1993	Pizzi			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6						Yes No
	AM6						Yes No
	AN6						Yes No
	AO6						Yes No
	AP6						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>6</u>	
	AS	<u>6</u>	
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<i>Le</i>	AA7	5,281,905	1/1994	Dhyanchand <i>et al.</i>	<i>T</i>	<i>T</i>	<i>T</i>
	AB7	5,291,106	3/1994	Murty <i>et al.</i>			
	AC7	5,309,081	5/1994	Shah <i>et al.</i>			
	AD7	5,325,043	6/1994	Parro			
	AE7	5,345,154	9/1994	King			
	AF7	5,363,032	11/1994	Hanson <i>et al.</i>			
	AG7	5,364,309	11/1994	Heidrich <i>et al.</i>			
	AH7	5,373,195	12/1994	De Doncker <i>et al.</i>			
	AI7	5,381,081	1/1995	Radun			
	AJ7	5,387,859	2/1995	Murugan <i>et al.</i>			
<i>Le</i>	AK7	5,404,092	4/1995	Gegner	<i>T</i>	<i>T</i>	<i>T</i>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<i>I</i>	
	AS	<i>I</i>	
	AT	<i>I</i>	

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<i>R</i>	AA8	5,406,797	4/1995	Kawamura	<i>C</i>	<i>T</i>	<i>T</i>
<i>R</i>	AB8	5,428,275	6/1995	Carr <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
<i>T</i>	AC8	5,430,362	7/1995	Carr <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AD8	5,477,091	12/1995	Fiorina <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AE8	5,488,286	1/1996	Rozman <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AF8	5,495,162	2/1996	Rozman <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AG8	5,495,163	2/1996	Rozman <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AH8	5,510,696	4/1996	Naidu <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AI8	5,512,811	4/1996	Latos <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
	AJ8	5,544,484	8/1996	Voss <i>et al.</i>	<i>L</i>	<i>L</i>	<i>L</i>
<i>L</i>	AK8	5,545,090	8/1996	Kirschey	<i>L</i>	<i>L</i>	<i>L</i>

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>8</u>	
	AS	<u>8</u>	
	AT	<u>8</u>	

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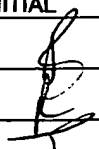









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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA9	5,546,742	8/1996	Shekhawat <i>et al.</i>			
	AB9	5,550,410	8/1996	Titus			
	AC9	5,550,455	8/1996	Baker			
	AD9	5,559,421	9/1996	Miyakawa			
	AE9	5,563,802	10/1996	Plahn <i>et al.</i>			
	AF9	5,568,023	10/1996	Grayer <i>et al.</i>			
	AG9	5,572,108	11/1996	Windes			
	AH9	5,581,168	12/1996	Rozman <i>et al.</i>			
	AI9	5,587,647	12/1996	Bansal <i>et al.</i>			
	AJ9	5,594,322	1/1997	Rozman <i>et al.</i>			
	AK9	5,637,987	6/1997	Fattic <i>et al.</i>			

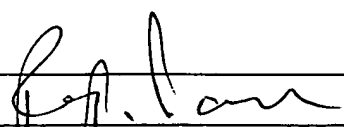
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>9</u>	
	AS	<u>9</u>	
	AT	<u>9</u>	

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA10	5,646,458	7/1997	Bowyer <i>et al.</i>			
	AB10	5,656,915	8/1997	Eaves			
	AC10	5,743,227	4/1998	Jacquet <i>et al.</i>			
	AD10	5,767,637	6/1998	Lansberry			
	AE10	5,789,881	8/1998	Egami <i>et al.</i>			
	AF10	5,799,484	9/1998	Nims			
	AG10	5,819,524	10/1998	Bosley <i>et al.</i>			
	AH10	5,847,522	12/1998	Barba			
	AI10	5,903,116	5/1999	Geis <i>et al.</i>			
	AJ10	5,905,346	5/1999	Yamada <i>et al.</i>			
	AK10	5,929,537	7/1999	Glennon			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL10						Yes No
	AM10						Yes No
	AN10						Yes No
	AO10						Yes No
	AP10						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>10</u>	
	AS	<u>10</u>	
	AT	<u>10</u>	

EXAMINER	DATE CONSIDERED <u>1/12/06</u>
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>R</i>	AA11	5,936,312	8/1999	Koide <i>et al.</i>			
<i>R</i>	AB11	5,939,794	8/1999	Sakai <i>et al.</i>			
	AC11	5,946,086	8/1999	Bruce			
	AD11	5,982,645	11/1999	Levran <i>et al.</i>			
	AE11	5,998,976	12/1999	Steffan			
	AF11	6,005,297	12/1999	Sasaki <i>et al.</i>			
	AG11	6,020,713	2/2000	Geis <i>et al.</i>			
	AH11	6,023,135	2/2000	Gilbreth <i>et al.</i>			
	AI11	6,031,294	2/2000	Geis <i>et al.</i>			
	AJ11	6,051,951	4/2000	Arai <i>et al.</i>			
<i>R</i>	AK11	6,054,776	4/2000	Sumi			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)			
	AR	11	
	AS	11	
	AT	11	

EXAMINER <i>fg-lan</i>	DATE CONSIDERED 11/2/06
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

2066.0010009

APPLICATION NO.

10/677,480

FIRST NAMED INVENTOR

Mark G. GILBRETH



FILING DATE

October 3, 2003

ART UNIT

2838

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA12	6,064,122	5/2000	McConnell			
	AB12	6,087,734	7/2000	Maeda <i>et al.</i>			
	AC12	6,107,775	8/2000	Rice <i>et al.</i>			
	AD12	6,147,414	11/2000	McConnell <i>et al.</i>			
	AE12	6,153,942	11/2000	Roseman <i>et al.</i>			
	AF12	6,169,332 B1	1/2001	Taylor <i>et al.</i>			
	AG12	6,169,334 B1	1/2001	Edelman			
	AH12	6,175,210 B1	1/2001	Schwartz <i>et al.</i>			
	AI12	6,194,794 B1	2/2001	Lampe <i>et al.</i>			
	AJ12	6,487,096	11/2002	Gilbreth <i>et al.</i>			
	AK12	6,487,096 B1	11/2001	Bhargava			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL12						Yes No
	AM12						Yes No
	AN12						Yes No
	AO12						Yes No
	AP12						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	12	
	AS	12	
	AT	12	

EXAMINER

DATE CONSIDERED

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